

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Che-Hung Robert Lee et al.

Application No.: 10/566,899

Filed: September 25, 2006

Confirmation No.: 9600

For: POLYSACCHARIDE-PROTEIN  
CONJUGATE VACCINES

**FILED BY EFS**

Examiner: Rodney P. Swartz

Art Unit: 1645

Attorney Reference No.: 4239-83272-10

**STATEMENT UNDER 37 CFR 3.73(b)**

The United States of America as represented by the Secretary, Department of Health and Human Services (the Government), a government agency, states that it is:

- ☒ the assignee of the entire right, title, and interest
- ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is        %)

in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above.
- ☒ The assignment was recorded in the United States Patent and Trademark Office at Reel 018293, Frame 0955, or
- ☐ A copy of the assignment is attached.
- ☐ A chain of title from the inventor(s), to the current assignee of the patent application/patent identified above, as follows:

1. From:                      To:  
The document was recorded in the United States Patent and Trademark Office at Reel                      , Frame                      , or for which a copy thereof is attached.
2. From:                      To:  
The document was recorded in the United States Patent and Trademark Office at Reel                      , Frame                      , or for which a copy thereof is attached.

3. From: To:

The document was recorded in the United States Patent and Trademark Office at  
Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 C.F.R. 3.73(b)(1)(i), the documentary evidence of the chain of title  
from the original owner to the assignee was, or concurrently is being, submitted for recordation  
pursuant to 37 C.F.R. 3.11.

Executed on July 27, 2009, at Rockville, Maryland.

UNITED STATES DEPARTMENT OF  
HEALTH AND HUMAN SERVICES

  
Name: Norbert Pontzer

Reg. No.: 40,777

For: National Institutes of Health  
Office of Technology Transfer  
6011 Executive Boulevard, Suite #325  
Rockville, MD 20852  
Telephone: 301-496-7056